



03-01-02

PATENT  
010569

0300  
+  
W

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner :

In re application of :

Royce D. Jordan, Jr. :

SYSTEMS METHODS TO SELECTIVELY  
CONTROL FORWARDING OF ELECTRONIC  
MAIL

Serial No. 10/034,093 :

Filed: December 28, 2001 :

INFORMATION DISCLOSURE STATEMENT

Pittsburgh, Pennsylvania 15222

February 28, 2002

Commissioner for Patents  
Washington, DC 20231

Sir:

Applicant, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advises the United States Patent and Trademark Office of the references listed on the accompanying form PTO-1449 *Information Disclosure Citation*. A copy of each of the references cited therein is herewith enclosed.

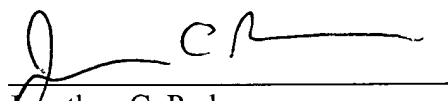
"Express Mail" mailing label number EU150766353US

Date of Deposit February 28, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231

Applicant notes that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,



---

Jonathan C. Parks  
Registration No. 40,120

Attorney for Applicant

KIRKPATRICK & LOCKHART, LLP  
Henry W. Oliver Building  
535 Smithfield Street  
Pittsburgh, Pennsylvania 15222

Ph. (412) 355-6288  
Fax (412) 355-6501

Form PTO-1449

Page 1 of 2

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Atty. Docket No.  
010569Serial No.  
10/034,093Applicant  
Royce D. Jordan Jr.Filing Date  
12/28/01

Group

## U. S. PATENT DOCUMENTS

Examiner Initial	Document Number	Issue Date	Patentee	Class	Sub-Class	Filing Date
	5,822,526	10/13/98	Waskiewicz			
	6,035,327	3/7/00	Buckley et al.			
	6,118,856	9/12/00	Paarsmarkt et al.			
	6,324,569 B1	11/27/01	Ogilvie et al.			
	6,219,694 B1	4/17/01	Lazaridis et al.			
	6,321,267 B1	11/20/01	Donaldson			

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Initial	Document Number	Public. Date	Country or Patent Office	Class	Sub-Class	Transl Y N

## OTHER DOCUMENTS

(Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner	Initial	Document Number	"How Omniva Policy Manager Works," printed from <a href="http://www.omniva.com/what/how.html">http://www.omniva.com/what/how.html</a> on 2/26/02.
Examiner	Initial	Document Number	"Certified E-mail Proof-of-Posting/Opening Launched by ReadNotify," URLwire, 10/26/01.
Examiner			Date Considered

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. 12/92

(Including Author, Title, Date, Relevant Pages, Place of Publication)

[illegible]